

Referred by:

M.A. Name: _____

M.A. ID#: _____

M.A. Email: _____



2565 Alluvial Avenue Suite 142 Clovis, CA 93611
800-274-3204 Facsimile: 661-778-0948
info@americanlaboralliance.org

Date: _____

Regional Office: _____

American Labor Alliance Retirement Plan and Trust

ROLLOVER AUTHORIZATION

Participant Name: _____ SSN /ITIN#: _____

Name of prior Plan/IRA: _____ Estimated rollover amount: _____

Please complete Part I when doing a rollover from a prior Plan or IRA and return the completed form to your Plan Administrator. Please note that you must first complete an investment election form specifying how the rollover will be invested. If you require assistance in determining whether any part of your prior qualified plan or IRA consists of after-tax or non-deductible contributions, please consult your Plan Administrator.

I. PARTICIPANT CERTIFICATION

Complete one of A through D, and complete the certification in item E below.

A. Qualified Plan. (Please attach a copy of the plan's latest IRS favorable determination letter or a letter from the plan's administrator stating that the plan is qualified).

B. 403(b) Plan.

C. State 457(b) Plan.

D. IRA

The amount rolled over from the sources specified above must otherwise be a completely taxable distribution and may not consist of a return of any non deductible or after tax contributions or rollovers.

E. Certification

I hereby certify that the conditions for a rollover specified above are met and the information provided is complete and accurate

Signature: _____ Date: _____

II. PLAN ADMINISTRATOR ACCEPTANCE

I hereby authorize the rollover into the American Labor Alliance Retirement Plan and Trust as described above.

Signature: _____ Date: _____

BULLETIN 100-02

Effective: January 1, 2013
TOPIC: ROLLOVER RESTRICTIONS

To avoid taxation, the IRS restricts rollovers between IRAs to once every 12 consecutive months. If you have multiple IRA distributions, you may only rollover one of the distributions. Inside the ALA Trust, the initial rollover funds are secured for consecutive 12 months and no rollover transfer can take place earlier than after the 12 month period. The rollover process time period is usually 30 to 60 days when the initial rollover is executed.

